

APPENDIX 1

Action	Priority	Responsibility	Action to Date	Resolved [✓ or X]	(Original) Implementation date
<p>Assurance for third party services</p> <p>The council should request where it has third party IT service provision, formal assurance from the service provider on the adequacy of the general IT controls they have in operation at their data centre(s) from which the service is provided. This should include testing of third party disaster recovery plans.</p>	Medium	Head of Corporate Strategy & Client Services	<p>Position – November 2013</p> <p>Memorandum of understanding is currently in draft format. This will be issued to (PSN) priority systems vendors initially.</p> <p>A further policy will be developed around management of third parties will be written during 2014.</p> <p>Position January 2014</p> <p>This is in progress. MOU's for third parties are currently being issued. Third party policy is scheduled for completion by end of Q4.</p> <p>Position March 2014</p> <p>MOUs issued to key vendors.</p> <p>Third party policy is currently being drafted</p>	<p>X</p> <p>Part resolved</p>	<p>December 2013</p> <p>April 2014 to complete Third party policy</p> <p>September 2014</p>

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			<p>Position June 2014</p> <p>Third party policy will be issued to ITSG for approval prior to the move to Capita's data centre. Documentation has been received from Capita confirming BSI, QM & other industry standard accreditations.</p> <p>Position September 2014</p> <p>The third party policy will now be issued to ITSG for approval in November 2014.</p> <p>Position November 2014</p> <p>Policy has been approved at ITSG on 21 October</p>	✓	November 2014
<p>Financial governance</p> <p>The presentation of income charges could be improved with the further analysis of other income streams such as property and commercial income included within the monthly budget reporting pack the 'Finance Digest'.</p>	Medium	Head of Finance Shared Services	<p>Position January 2014</p> <p>The content and presentation of the Finance Digest is under review. The Shared Internal Audit Service is performing a county-wide examination of budget monitoring and reporting arrangements and will report on best practice during 2014. The Budget panel will be consulted on proposed changes.</p>	X	<p>December 2013</p> <p>July 2014</p>

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			<p>Position March 2014</p> <p>SIAS and Finance Managers have commenced the review process and the detailed evidence gathering will be completed by the end of March. The budget monitoring process and new reporting format will be reported to Budget Panel in July.</p> <p>Position September 2014</p> <p>Discussions have been on-going as to the content and format of the digest. A new version of the Finance Digest will be presented to Budget Panel at their October meeting</p> <p><i>Position November 2014</i></p> <p><i>A new version of the Finance Digest has been presented to the December meeting of the Budget Panel</i></p>	<p>✓ <i>Resolved</i></p>	<p><i>December 2014</i></p>
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